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| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>07334-136001 | Application No.<br>09/697,089 |
|  | Applicant<br>John Bertin et al.                            |                                       |                               |
|  | Filing Date<br>October 26, 2000                            | Group Art Unit<br>1653                |                               |

**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|---------------|------------|----------|-------|----------|----------------------------|
|                  | AA        |               |            |          |       |          |                            |

**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                  |           |                 |                  |                          |       |          | Yes         | No |
|                  | AB        |                 |                  |                          |       |          |             |    |

**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Desig. ID | Document  |
|------------------|-----------|---|
|                  | AC        | Bertin et al., "Human CARD4 Protein Is a Novel CED-4/Apaf-1 Cell Death Family Member That Activates NF- $\kappa$ B," THE JOURNAL OF BIOLOGICAL CHEMISTRY, 274(19):12955-12958 (May 7, 1999) |
|                  | AD        | Hofmann et al., "The CARD domain: a new apoptotic signalling motif," TIBS 22:155-156 (1997)   |
|                  | AE        | Imai et al., "The CED-4-homologous Protein FLASH is involved in Fas-mediated activation of caspase-8 during apoptosis," NATURE, 398:777-785 (April 1999)                                    |
|                  | AF        | Nicholson, "Caspase structure, proteolytic substrates, and function during apoptotic cell death," CELL DEATH AND DIFFERENTIATION, 6:1028-1042 (1999)  |
|                  | AG        | GenBank™ Accession No. AC010968, August 18, 2000  |
|                  | AH        | GenBank™ Accession No. AI263294, February 3, 1999   |
|                  | AI        | GenBank™ Accession No. AL121653, March 2, 2000  |
|                  | AJ        | GenBank™ Accession No. Q13075, August 20, 2001  |
|                  | AK        | Incyte LGtemplates Accession No. 328193, LifeSeq Gold 5.0, October 1999 (local alignments obtained from a BLAST search with a query sequence)   |
|                  | AL        | Incyte LGtemplates Accession No. 402895, LifeSeq Gold 5.0, October 1999 (local alignments obtained from a BLAST search with a query sequence)   |

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| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Bertin et al.  
Serial No. : 09/697,089  
Filed : October 26, 2000  
Title : NOVEL MOLECULES OF THE CARD-RELATED PROTEIN FAMILY AND  
USES THEREOF

Art Unit : 1653  
Examiner : G. Bugaisky

Commissioner for Patents  
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 07334-136001.

Respectfully submitted,

Date:

January 14, 2002

Jack Brennan  
Jack Brennan  
Reg. No. 47,443

Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

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